

Frequently Asked Questions – RADx Exec Common Data Elements (CDEs)

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Introduction:

All projects funded under the RADx program are required to collect data on 12 variables known as the RADx Exec CDEs according to specified instructions. A list of the RADx Exec CDEs is appended to this document. Program Officers (sometimes called Project Officers or PO) make the final determination regarding CDEs application, use and reporting. There is an expectation CDE adherence and that exception requests will be rare.

As of June 3, 2021, several modifications to RADx CDEs already have been approved and remain in force. These do not need to be changed. The proposed guidance here is as a living document and will be updated as needed.

The following are several frequently asked questions designed to assist NIH staff in making CDE exception decisions.

1. What is the process for requesting exceptions to the RADx Exec CDEs?

A exception request can be initiated in several ways, including by authorized personnel (e.g. PI) from a specific award, by the data coordinating center staff for the Specific RADx program, or by NIH staff. Requests should be submitted by the project to their respective data coordinating center. The data coordinating center staff will determine whether the exception represents a major or a minor change. Minor changes can be made at the data coordinating center and reported to the program officer, who notifies the RADx Data Hub and the appropriate Grants Management Officer. Major changes are to be reviewed and made by the Program Officer who notifies the project staff, the RADx Data Hub and the appropriate Grants Management Officer.

2. What kind of a request is considered *minor* exception? What constitutes a *major* exception?

A minor exception is a request for a change in wording that result in a semantically equivalent expression of the same concept (e.g. “When were you born” vs “What is your birthdate”). A major exception is a request to eliminate one of the RADx Exec CDEs, or to substantially change the query stem and/or the response options (e.g. substituting “Do you have a disability” for the six-item disability variable).

3. We are engaging human participants as key informants. We do not need to know anything about their health status. Why do we need to gather all of the RADx Exec CDEs?

NIH accountability requires balancing the NIH's accountability to society to ensure that we have broad input into our efforts and the burden and intrusion experienced by the individual participant. For a specific project, on rare occasions, it might be acceptable for the PO to approve a MAJOR CHANGE eliminating one or more health status and medical history items. However, it would NOT be acceptable to waive demographics, domicile, education, employment or disability status. The project is still required to submit data on the required CDEs to the data coordination center for ultimate deposit to the RADx Data Hub with an indication that the item was not collected on any participants in the particular study.

4. Why do we need to collect information about disabilities? Our community partners don't want us to collect this information?

NIH has a responsibility to society to demonstrate that we are engaging with the full range of society. Collecting information about disabilities is like collecting information about gender, ethnicity and race. There are no exceptions for collecting information about disability using the six-item disability questionnaire.

5. My study only includes women participants. How do I handle reporting on the CDE related to biological sex?

In the RADx Exec CDEs there is an item related to biological sex. When information about a specific CDE is inherent in the inclusion criteria there is no need to include a question about that specific CDE in the interview or data collection form. When depositing data into the RADx Hub, it is necessary to include every variable and option and substituting information indicating that either the item was eliminated or the response option was never included (e.g. in this particular case, indicating that the study enrolled only women).

6. Our population is NOT disabled, and disability status is not relevant to our study. We do not want to include the 6-item disability assessment.

An important component of the RADx program is demonstrating that we have reached a broad distribution of the US population. Collecting information about the demographics, including disabilities of the people participating in a study is very important. There are no exceptions for collecting information about disability

7. Our target population includes School Children. Why do we have to collect information about employment?

The PO must make a reasoned judgment here. For example, high school and college students may be employed and it would be relevant to know employment status. If the PO makes a decision to eliminate this item, this constitutes a MAJOR CHANGE. The project is required to submit data on the required CDE to the data coordination center with an indication that the item was not collected on any participants in the particular study.

8. Our project wants to eliminate the response “temporary” from the employment item.

The PO must make a reasoned judgment here. If the PO waives the need to include this specific ITEM *response* for a specific project, it is equivalent to deleting the question. The project is still required to submit data on the required CDEs to the data coordination center for ultimate deposit to the RADx Data Hub with an indication that the item was not collected on any participants in the particular study.

It is expected that all research involving human subjects funded in the RADx program will collect information on these 12 concepts using these questions and specified response options.

| Concept | Stimulus | Response |
|--------------------------------|---|---|
| Identity | None | Project-specific identifier |
| Ethnicity ¹ | What ethnicity do you identify with? | Hispanic or Latino; not Hispanic or Latino |
| Race ¹ | What race do you identify with? | AI/AN; Asian; Black or African American; Native Hawaiian or other Pacific Islander; White |
| Sex | What was your assigned sex at birth? | Male, Female |
| Age | When were you born? | Date of Birth |
| Education | How many years of education have you completed? | 0 - +20 |
| Domicile | Where do you live? | Zip Code |
| Employment | Are you employed? | Yes, no, temporary |
| Insurance Status | What kind of insurance do you have? | Private, Public, none |
| Disability status ² | Are you deaf or do you have serious difficulty hearing? | Yes, no |
| | Are you blind or do you have serious difficulty seeing, even when wearing glasses? | Yes, no |
| | Because of a physical, mental, or emotional condition, do you have serious difficulty concentrating, remembering, or making decisions? | Yes, no |
| | Do you have serious difficulty walking or climbing stairs? | Yes, no |
| | Do you have difficulty dressing or bathing ? | Yes, no |
| | Because of a physical, mental, or emotional condition, do you have difficulty doing errands alone such as visiting a doctor's office or shopping? | Yes, no |
| Medical History | Vaping use | Yes, no |
| | Nicotine use | Yes, no |
| | Alcohol use | Yes, no |

¹ <https://grants.nih.gov/policy/inclusion/women-and-minorities/guidelines.htm>

² Reported as disabled if the response to any one of the six items is yes.

https://www.cdc.gov/brfss/data_documentation/pdf/BRFSS_Data_Users_Guide_on_Disability_Questions_2018-508.pdf

| | | |
|---------------|---|--|
| | Asthma | Yes, no |
| | Cancer | Yes, no |
| | Cardiovascular disease | Yes, no |
| | Chronic kidney disease | Yes, no |
| | Chronic lung disease | Yes, no |
| | Diabetes | Yes, no |
| | Hypertension | Yes, no |
| | Immunosuppressive condition | Yes, no |
| | Serious mental illness | Yes, no |
| | Sickle cell disease | Yes, no |
| | Pregnancy status | Yes, no |
| Symptoms | Cough ³ | Yes, no |
| | Fever ³ | Yes, no |
| | SoB or Difficulty breathing ³ | Yes, no |
| | Headache ³ | Yes, no |
| | Muscle ache ³ | Yes, no |
| | New Loss of taste or smell ³ | Yes, no |
| | Chills ³ | Yes, no |
| | Excessive fatigue ³ | Yes, no |
| | Nausea/vomiting ³ | Yes, no |
| | Diarrhea ³ | Yes, no |
| | Abdominal pain ⁴ | Yes, no |
| | Skin rash ⁴ | Yes, no |
| | conjunctivitis ⁴ | Yes, no |
| Health Status | BMI | Weight & height |
| | Would you say that (your) health in general is excellent, very good, good, fair or poor? ⁵ | Excellent, very good, good, fair, poor |

For all items:

1. Participants have the right to refuse to respond to any item
2. Various RADx programs or groups of projects may add required items specific to their project (e.g., wastewater assessment, greater specification of education or gender)
3. Researchers are encouraged to consult the [Phenx Tool Kit](#), the [NIH Public Health Emergency and Disaster Research Response \(DR2\) resource](#) or the CDC to identify valid and reliable items and instruments
4. Program officer approval required for modifications that make significant changes in response.

³ <https://www.cdc.gov/coronavirus/2019-ncov/symptoms-testing/symptoms.html>

⁴ Added by RADx Executive Committee

⁵ <https://www.phenxtoolkit.org/protocols/view/770101?origin=search>