

RADx-UP CDCC Electronic Health Record (EHR) Mapping Guidance

The below table is intended to help RADx-UP projects map EHR data to the RADx-UP NIH Common Data Elements in the survey. It currently includes many of the Tier 1 RADx-UP NIH Common Data Elements (CDE) variables, response options, whether the variable is available to map, and guidance on mapping.

Please note that not all of the Tier 1 CDE variables are included in this table at this time. This guidance is under development and some variables in specific categories such as medical history and vaccine acceptance will be added in future versions. However, some variables will never be included as they are not commonly found in medical records.

Additionally, tier 2 CDE variables are not included in the table at this time—for now, projects are not required to map Tier 2 CDEs. If a project feels comfortable mapping more variables on their own, either from Tier 1 or Tier 2, they may do so.

This mapping guidance solely pertains to the process of mapping some EHR data to RADx-UP NIH CDEs and does not replace or supersede the NIH exception process that projects must go through in order to gain approval from the NIH regarding which CDEs they will collect and which they will not.

For any variables listed below that do not have guidance, please contact the CDCC (via your EIT or by emailing Courtney Mann – <u>courtney.mann@duke.edu</u>) if you have questions about mapping them.

location Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC | Response Options for categorical | Guidance |
|-----------------------|-------------|--------------|----------------------------------|--|
| | | Field Label | variables | |
| current_county | Text | County | | County associated with the participant's current home address. May not be directly captured in the EHR. |
| zip_code | Numeric | Zip Code | | Zip code (5 or 9 digit) associated with the participant's current home address. |

sociodemographics Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC | Response Options for categorical | Guidance |
|-----------------------|-------------|--------------|---|----------|
| | | Field Label | variables | |
| | | | | |

| race_ethn_race | Numeric, categorical | What is your race? Mark one or more boxes AND print origins. | 1, American Indian or Alaska Native 2, Black or African American 3, Asian 4, Native Hawaiian or Other Pacific Islander 5, White 15, Some other race 99, Prefer not to answer | If race and ethnicity are captured as a single variable within the source system (e.g., "Hispanic or Latino" is included as a selection under Race): for patients with a known race (e.g., race is something other than "Hispanic or Latino,") leave Ethnicity blank and set Race to the appropriate value. For patients who are listed as having a race of "Hispanic," set ethnicity to "1" and leave race blank. If multiple race values are present in the EHR, create a separate entry for each one. If EHR contains a race value that is not present in the CDE list, use "15." Use "99" if a patient refused to answer. |
|----------------------|-------------------------|---|--|---|
| race_ethn_hispanic | Numeric, categorical | Are you of Hispanic, Latino, or Spanish origin? | 0, No, not of Hispanic, Latino, or Spanish origin 1, Yes, of Hispanic, Latino, or Spanish origin 99, Prefer not to answer | See guidance for race CDE if race and ethnicity are captured in the same field in the EHR. If EHR contains values other than "Hispanic/not Hispanic" (or similar) leave blank for those records. Use "99" when a patient refused to answer. |
| age_yrs | Numeric | Age For babies less than 1 year old, do not write the age in months. Write 0 as the age. | | Calculate age (in years) based on birth date and the date extract is generated (?) Age should be rounded down to even year in all cases, never rounded up. |
| bio_sex_birth | Numeric, categorical | What was your sex assigned at birth? | 1, Female 0, Male 2, Non-binary 96, None of these describe me 99, Prefer not to answer | Use non-binary ("2") for participants who are physically undifferentiated at birth (may be recorded in the EHR as ambiguous). |
| gender_identity_term | Numeric, categorical | What terms best express how you describe your | 1, Woman 0, Man 2, Non-binary 3, Transgender man/Female-to-male (FTM) 4, Transgender woman/Male-to-female (MTF) 5, Gender non- binary/Genderqueer/Gender | Use "96" (none of these describe me) if multiple gender identities are present or if value is not present in the CDE list. |



| | | gender identity? | nonconforming 6, Agender 7, Bigender 96, None of these describe me 99, Prefer not to answer | |
|---------------|-------------------------|---|--|--|
| sex_orient_id | Numeric, categorical | Which of the following best represents how you think of yourself at this time? | 1, Gay 2, Lesbian 3, Straight; that is, not gay or lesbian, etc. 4, Bisexual 96, None of these describe me 99, Prefer not to answer | Use "96" (none of these describe me) if multiple orientations are present, or for values of asexual, questioning, queer, "gay, lesbian or homosexual" (recorded as a single response) or any other value not present in the CDE list. |

housing_employment_and_insurance Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|-----------------------|-------------------------|--|---|--|
| language_english | Numeric, categorical | Do you speak a language other than English at home? | 1, Yes 0, No 98, Prefer not to answer | If patient preferred language is English, set to "0". If any other defined value, set to "1". If language is not defined, leave blank. |
| language_spoken | Numeric, categorical | What language(s) | 1, Spanish 2, Vietnamese 3, Mandarin 4, Cantonese 5, Tagalog 6, Hawaiian 7, Ilokano 8, Navajo 90, Other | If patient preferred language is not English and can be mapped to one of the enumerated choices, choose the appropriate value. If preferred language is not one of the options in this list, map to "90 |
| language_spoken_other | Text | Specify other language(s) | | Include language if patient's preferred language is not English and NOT in the list above. |

medical_history Table / Form (variables available now)

| Variable / Field Name | Data Format | RADx-UP CDCC | Response Options for categorical | Guidance |
|-----------------------|-------------|--------------|----------------------------------|----------|
| | | Field Label | variables | |
| | | | | |



| cc_hypertension | Numeric, categorical | Hypertension (HTN, high blood pressure) | 1, Yes 0, No | Any of the following ICD10 diagnosis codes: I10.*; I11.*; I12.*; I13.*; I15.* |
|-----------------|-------------------------|---|----------------|---|
| cc_diabetes | Numeric, categorical | Diabetes | 1, Yes 0, No | Any of the following ICD10 diagnosis codes: E10.*; E11.*; E13.* |
| cc_chronickd | Numeric, categorical | Chronic kidney disease (CKD) | 1, Yes 0, No | See CKD tab in Reference Table document. |
| cc_cancer | Numeric, categorical | Cancer diagnosis and/or treatment within the past 12 months | 1, Yes 0, No | See CANCER tab in Reference Table document. Note, this list includes diagnoses only, not treatment-related codes. |
| cc_asthma | Numeric, categorical | Asthma | 1, Yes 0, No | See ASTHMA tab in Reference Table document. |
| cc_copd | Numeric, categorical | Chronic obstructive pulmonary disease (COPD) | 1, Yes 0, No | Any of the following ICD10 diagnosis codes: J44.* |

health_status Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|----------------------------|-------------------------|--|--|---|
| self_reported_height_coded | Numeric, categorical | How tall are you without shoes? Please choose the units you would like to use for height | 1, Feet and inches 2, Meters and centimeters 98, Don't know 99, Prefer not to answer | Provide most recent patient height. Map to field with relevant units. |
| self_reported_height_feet | Numeric | Feet | | |



| self_reported_height_inches | Numeric | Inches | | |
|----------------------------------|-------------------------|---|--------------------------|---|
| self_reported_height_meters | Numeric | Meters | | |
| self_reported_height_centimeters | Numeric | Centimeters | | |
| self_reported_weight_units | Numeric, categorical | Please choose the units you would like to use for weight | 1, Kilograms 2, Pounds | Provide most recent patient weight. Map to field with relevant units. |
| self_reported_weight_kgs | Numeric | How much do you weigh without clothes or shoes? If you are currently pregnant, how much did you weigh before your pregnancy? | | |
| self_reported_weight_lbs | Numeric | How much do you weigh without clothes or shoes? If you are currently pregnant, how much did you weigh before your pregnancy? | | |

testing Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC | Response Options for categorical | Guidance |
|-----------------------|-------------|--------------|----------------------------------|----------|
| | | Field Label | variables | |
| | | | | |



| test_date_mdy | Text, MM/DD/YYYY | Date of Testing Collection | | |
|---------------------------|-------------------------|--|--|---|
| tested_for_covid | Numeric, categorical | Have you ever been tested for COVID- 19? | 1, Yes 2, No 98, Don't know 99, Prefer not to answer | If COVID-19 result present, set to "1". See COVID_LOINC tab for codes. |
| tested_positive_for_covid | Numeric, categorical | Have you ever tested positive for COVID-19? | 1, Yes 2, No 98, Don't know 99, Prefer not to answer | If result = Positive, Presumptive Positive, or Detected (used in PCR tests), or Recent Infection, Past Infection, Antibodies Detected, or SARS Coronavirus 2 (applicable to some Antigen/Antibody tests) set to "1". Results at the site level may not use these exact terms to report results. |
| positivemonth_covidtest | Numeric, categorical | What month did you first test positive for COVID- 19? | 1, January 2, February 3, March 4, April 5, May 6, June 7, July 8, August 9, September 10, October 11, November 12, December | Derive month from date of FIRST positive test. Use RESULT DATE if available, otherwise sample collection date or specimen received date. |
| positiveyear_covidtest | Numeric, categorical | What year did you first test positive for COVID-19? | 1,2019 2,2020 3,2021 | Derive year from date of FIRST positive test. Use RESULT DATE if available, otherwise sample collection date or specimen received date. |
| recentmonth_covidtest | Numeric, categorical | What month did you have your most recent COVID-19 test? | 1, January 2, February 3, March 4, April 5, May 6, June 7, July 8, August 9, September 10, October 11, November 12, December | Derive month from date of most RECENT test. Use RESULT DATE if available, otherwise sample collection date or specimen received date. |
| recentyear_covidtest | Numeric, categorical | What year did you have your most recent COVID-19 test? | 1,2019 2,2020 3,2021 | Derive year from date of most RECENT test. Use RESULT DATE if available, otherwise sample collection date or specimen received date. |
| recentresult_covidtest | Numeric, categorical | What was the result of your most recent COVID-19 test? | 1, Negative 2, Positive 3, Never obtained results 4, Indeterminate 98, Don't know 99, Prefer not to answer | Choose appropriate value based on result of most RECENT test. Results may not use these exact terms: "1" - Negative, Not Detected, Undetected "2" - Positive, Presumptive Positive, Detected, Recent Infection, Past Infection, Antibodies Detected, SARS Coronavirus 2 "4" - Undetermined, Indeterminate abnormal, Equivocal, Specimen Unsatisfactory |



| cov_tst_mthd | Numeric, | How were you | 1,Nasal Swab 2,Throat Swab 3, | See "Sample Type" column of COVID_LOINC tab in the |
|--------------|-------------|-------------------|-------------------------------|---|
| | categorical | tested for your | Blood Sample 4, Saliva | Reference Table document for categorization of testing |
| | | most recent test? | | method. Tests with a type of "XXX" can apply to |
| | | | | multiple methods. Project teams would need to consult |
| | | | | their EHR data source for exact information. |
| | | | | "Respiratory" tests most likely obtain a sample via nasal |
| | | | | swab. |

covid_test Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|--------------------------|-------------------------|--|--|--|
| covid_test_date_mdy | Text, MM/DD/YYYY | Date of COVID Test Information Collection | | Derive year from date of most RECENT test. Use RESULT DATE if available, otherwise sample collection date or specimen received date. |
| covid_test_type | Numeric, categorical | Test Method Target | 1, Antibody 2, Antigen 3, Nucleic acid/PCR 4, Nucleic acid/Isothermal 5, Molecular/host response 6, Biochemical marker (eg, pH) 90, Other, Specify | See "Test_Type" column of COVID_LOINC tab in the Reference Table document for categorization of test type. Most tests from the EHR are expected to be in the nucleic acid/PCR category. |
| covid_test_type_other | Text | Other method target | | |
| covid_test_name | Text | Test manufacturer (or LDT) and test name | | |
| covid_test_specimen_type | Numeric, categorical | Specimen Type | 1, Anterior nasal swab 2, Mid-turbinate nasal swab 3, Nasopharyngeal swab | See "Sample Type" column of COVID_LOINC tab in the Reference Table document for categorization of testing method. Tests with a type of "XXX" can apply to multiple methods. Project teams would need to consult their EHR |



| | | | 4, Oropharyngeal swab 5, Nasal lavage 6, Saliva 7, Sputum 8, Whole blood 90, Other, Specify | data source for exact information. "Respiratory" tests most likely obtain a sample via nasal swab. |
|--------------------------------|-------------------------|--|---|---|
| covid_test_specimen_type_other | Text | Other specimen type | | |
| covid_test_result_raw | Text | Raw test result (if not a Positive/Negative/Failed report) | | Raw result can be placed in this field if it does not map to one of the values below. |
| covid_test_result | Numeric, categorical | Test result | 1, Positive 2, Negative 3, Failed 4, Lost 90, Other | Choose appropriate value based on result of most RECENT test. Results may not use these exact terms, so choose the closest match: "1" - Negative, Not Detected, Undetected "2" - Positive, Presumptive Positive, Detected, Recent Infection, Past Infection, Antibodies Detected, SARS Coronavirus 2 "3" - Undetermined, Indeterminate abnormal, Equivocal, Specimen Unsatisfactory |
| covid_test_result_other | Text | Other test result | | |

Deferred Variables

The below variables may be included at a later data. If you have data related to columns listed below that you would like to map now, please let the CDCC know.

sociodemographics Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field | Response Options for | Guidance |
|-----------------------|-------------|--------------------|-----------------------------|----------|
| | | Label | categorical variables | |
| | | | | |



| race_ethn_asian_detail | Numeric, | | 1, Japanese 2, | |
|---------------------------|-------------|----------------------------|-------------------------|---|
| | categorical | | Filipino 3, Chinese | |
| | | | 4, Korean 5, Other | |
| | | | Asian | |
| | | | | |
| race_ethn_islander_detail | Numeric, | | 1, Native Hawaiian 2, | |
| | categorical | | Pacific Islander 3, | |
| | | | Samoan 4, Tongan | |
| | | | 5, Maori 6, Fijian | |
| | | | 7, Chamorro 8, | |
| | | | Chuukese 9, Kosraen | |
| | | | 10, Marshallese 11, | |
| | | | Palauan 12, | |
| | | | Pohnpeian 13, | |
| | | | Yapese 14, Other | |
| | | | Pacific Islander | |
| race_ethn_orig_other | Text | Specify other origin. | | |
| | | | | |
| | | Print race of origin. | | |
| race_ethn_hispanic_detail | Numeric, | Please specify your origin | 1, Mexican, Mexican | |
| | categorical | | Am., Chicano 2, | |
| | | | Puerto Rican 3, | |
| | | | Cuban 4, Another | |
| | | | Hispanic, Latino, or | |
| | | | Spanish origin | |
| race_ethn_hispanic_other | Text | Please specify other | | |
| ruce_ctim_mspanie_other | Text | Hispanic, Latino, or | | |
| | | Spanish origin. For | | |
| | | example, Salvadoran, | | |
| | | Dominican, Colombian, | | |
| | | Guatemalan, Spaniard, | | |
| | | Ecuadorian, etc. | | |
| | | | | |
| pregnancy_status | Numeric, | Are you currently | 1, Pregnant 0, Not | Need to define further. Pregnant as of when? Can use |
| | categorical | pregnant? | Pregnant 98, Don't | Z3A.* ICD-10 codes (weeks of gestation), but also need to |
| | | | know 99,Prefer not | take delivery, stillbirth, miscarriages, etc. into |
| | | | to answer | consideration. |
| | | | | |



vaccine_aceptance Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|-----------------------|-------------------------|--|--|----------|
| flu_vaccinehistind | Numeric, categorical | Have you ever received a flu vaccination? | 1, Yes 0, No 98, Do not remember | |
| flu_vaccine_season | Numeric, categorical | Have you received a flu vaccine this season (last 6 months)? | 1, Yes 0, No 98, Do not remember | |
| covid_vaccine | Numeric, categorical | Have you received a COVID-19 vaccine? | 1, Yes 0, No 98, Prefer not to answer 99, Don't know | |

medical_history Table / Form Possible Additional Variables

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|-----------------------|-------------------------|--|---|----------|
| cc_imm | Numeric, categorical | Immunocompromised condition | 1, Yes 0, No | |
| cc_autoimm | Numeric, categorical | Autoimmune disease | 1, Yes 0, No | |
| cc_cvd | Numeric, categorical | Cardiovascular disease (CVD or heart disease) | 1, Yes 0, No | |
| cc_clung | Numeric, categorical | Other chronic lung disease | 1, Yes 0, No | |
| cc_sickle | Numeric, categorical | Sickle Cell Anemia | 1, Yes 0, No | |



| cc_depression | Numeric, categorical | Depression | 1, Yes 0, No | |
|---------------------|-------------------------|-----------------------------------|----------------|--|
| cc_asud | Numeric, categorical | Alcohol or substance use disorder | 1, Yes 0, No | |
| cc_intrav | Numeric, categorical | Intravenous drug use | 1, Yes 0, No | |
| cc_othermh | Numeric, categorical | Other mental health disorder | 1, Yes 0, No | |
| cc_otherchroniccond | Numeric, categorical | Other chronic condition | 1, Yes 0, No | |

covid_test Table / Form Possible Additional Variables

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|----------------------------------|-------------------------|--|---|----------|
| covid_test_date_mdy | Text, MM/DD/YYYY | Date of COVID Test Information Collection | | |
| covid_test_target_disease_status | Numeric, categorical | Participant Testing Disease Status | 1, Asymptomatic 2, Pre-symptomatic illness 3, Mild/Moderate outpatient illness 4, Acute illness 5, Severe/Critical inpatient illness 6, Exposed 9, Convalescent illiness | |
| covid_test_approval | Numeric, categorical | Quality and Regulatory | 1, CLIA/CP certified 2, CLIA Waiver 3, FDA authorized (EUA) | |



| | | | 4, FDA cleared 5, LDT 90, Other (specify) | |
|-------------------------------------|-------------------------|--------------------------|--|--|
| covid_test_approval_other | Text | Other approval | | |
| covid_test_collection_setting | Numeric, categorical | Test Collection Setting | 1, Clinic 2, Drive- through 3, Home 4, Mobile unit 5, Lab 6, Mail-in 7, Community location (e.g., church, school, community center, etc.) 90, Other, Specify | |
| covid_test_collection_setting_other | Text | Other setting | | |
| covid_test_performed_location | Numeric, categorical | Test Performed Location | 1, Clinic 2, Drive- through 3, Home 4, Mobile unit 5, Lab 6, Mail-in 7, Community location (e.g., church, school, community center, etc.) 90, Other, Specify | |
| covid_test_performed_location_other | Text | Other performed location | | |
| covid_test_study_setting | Numeric, categorical | Study Setting | 1, Community health center 2, Nursing home or long-term care facility 3, Prison or correctional facility 4, Public housing 5, Rural 6, Urban 7, | |



| | | | School 8, In-home | |
|-------------------------------------|-------------------------|--|---|--|
| | | | 90, Other, Specify | |
| covid_test_study_setting_other | Text | Other study setting | | |
| covid_test_specimen_collector | Numeric, categorical | Specimen Collector | 1, Self-collect 2, Health Care Provider collected 90, Other, Specify | |
| covid_test_specimen_collector_other | Text | Other specimen collector | | |
| covid_test_collect_datetime | Text, MM/DD/YYYY | Date and time specimen collected | | |
| covid_test_result_datetime | Text, MM/DD/YYYY | Date and time result received | | |
| covid_test_result_sent_datetime | Text, MM/DD/YYYY | Date and time result sent to participant | | |

health_status Table / Form

| Variable / Field Name | Data Format | RADx-UP CDCC Field Label | Response Options for categorical variables | Guidance |
|--|-------------------------|---|---|----------|
| self_reported_health_status_assessment | Numeric, categorical | Would you say your health in general is excellent, very good, good, fair, or poor? | 1, Excellent 2, Very good 3, Good 4, Fair 5, Poor 99, | |



| | | | Prefer not to answer 98, Don't know | |
|--------------------------|-------------------------|--|--|--|
| self_reported_disability | Numeric, categorical | Do you have a disability that interferes with your ability to carry out daily activities? Examples of daily activities include walking, climbing stairs, shopping, balancing a checkbook, bathing or dressing. | 1, Yes 0, No 98, Prefer not to answer | |